Invesco Mutual Fund

Application Form for Lumpsum / SIP / Folio Creation

Please read instructions before filling the Form

Application No :

Key Partner / Agent Information

Distributor / Broker ARN	Sub-Broker ARN Code	Internal Sub-Broker/Employee Code	Employee Unique Identification No. (EUIN) (Of Individual ARN holder or Of employee / Relationship Manager / Sales Person of the Distributor)	Registered Investment Advisor Code
ARN -	ARN - 165491			

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/ relationship manager/sales person of the distributor/sub broker. (Refer Instruction No.1 (iii)). E090535

Sign Here Sole/First Applicant/Guardian	Sign Here Second Applicant	Sign Here Third Applicant

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

Transaction Charges (Please tick any one of the below. For details refer KIM)

☐ I am a first time investor in Mutual Funds / ☐ I am an existing investor in Mutual Funds *(Default)*

- Country of Birth / Citizenship / Nationality or Tax Residency, other than India, for any applicant? (✓): ☐ Yes / ☐ No (Mandatory to ✓). **If yes, please fi**ll FATCA / CRS declaration.
- **NRI investors should mandatorily fi**ll separate FATCA / CRS declarations.
- **Non Individual investors should mandatorily fi**ll separate FATCA / CRS & UBO declarations.

Existing Unitholder : Pl. fill in Folio Number below and then proceed to section 2.

Folio Number | | | | | | | | | | |

Name of Sole / First Unitholder

New Unitholder

1. Applicant's Details

	Name (as per PAN)	PAN/KRN & KIN (Mandatory)	Date of Birth
First/Sole	Mr. / Ms. / M/s.	PAN/KRN (10 Digit No.)	D D M M Y Y Y Y
	City of Birth / Country of Birth	KIN (14 Digit No.)	Enclosed (please ✓) ☐ KYC Proof
Second	No joint holder where minor is first holder	PAN/KRN (10 Digit No.)	D D M M Y Y Y Y
	City of Birth / Country of Birth	KIN (14 Digit No.)	Enclosed (please ✓) ☐ KYC Proof
Third	No joint holder where minor is first holder	PAN/KRN (10 Digit No.)	D D M M Y Y Y Y
	City of Birth / Country of Birth	KIN (14 Digit No.)	Enclosed (please ✓) ☐ KYC Proof
Guardian/ Contact Person	(if Sole / First applicant is a Minor) Contact Person (in case of Non-individual Investors only)	PAN/KRN (10 Digit No.)	D D M M Y Y Y Y
	Relation ☐ Father ☐ Mother ☐ Court appointed Guardian	KIN (14 Digit No.)	Enclosed (please ✓) ☐ KYC Proof
POA Holder	(If the investment is being made by a Constituted Attorney, please furnish the details of POA Holder)	PAN/KRN (10 Digit No.)	D D M M Y Y Y Y
		KIN (14 Digit No.)	

Mailing Address: (Address should be as per CKYC records, refer Instruction no. 13(ii))

City | PIN

State

Tel. No. (Residence) | Tel. No. (Office)

Mobile

E-mail

Overseas Address: (Mandatory in case of NRI / FII / FPI applicant)

City | State/Province

Country | PIN

Status (✓) ☐ Individual ☐ Minor ☐ Minor-NRI Repatriable ☐ Minor-NRI Non-Repatriable ☐ HUF ☐ NRI Repatriable ☐ NRI Non-Repatriable ☐ Partnership ☐ LLP ☐ Listed Co. ☐ Unlisted Co. ☐ Body Corporate ☐ Society/Club ☐ Trust ☐ FII ☐ FPI ☐ AOP ☐ Co. U/S 25/8 of Companies Act ☐ Others_____

Mode of Holding (Only for non-demat mode) (✓) ☐ Single ☐ Joint ☐ Anyone or Survivor (Default)

In case of Non-Profit Entity (please ✓) ☐

2. KYC Details Mandatory (✓)

Gross Annual Income	First/Sole	☐ Below 1 Lac ☐ 10-25 Lacs	☐ 1-5 Lacs *(Default)* ☐ 25 Lacs - 1 Crore	☐ 5-10 Lacs ☐ > 1 Crore	Net-worth	₹ as on D D M M Y Y Y Y (Not older than 1 year) (Mandatory for Non-individuals)
	Second	☐ Below 1 Lac ☐ 10-25 Lacs	☐ 1-5 Lacs *(Default)* ☐ 25 Lacs - 1 Crore	☐ 5-10 Lacs ☐ > 1 Crore	Net-worth	₹ as on D D M M Y Y Y Y (Not older than 1 year)
	Third	☐ Below 1 Lac ☐ 10-25 Lacs	☐ 1-5 Lacs *(Default)* ☐ 25 Lacs - 1 Crore	☐ 5-10 Lacs ☐ > 1 Crore	Net-worth	₹ as on D D M M Y Y Y Y (Not older than 1 year)

Occupation Details	First/Sole	☐ Private Service ☐ Retired	☐ Public Sector / Govt. Service ☐ Student	☐ Business ☐ Forex Dealer	☐ Professional ☐ Agriculturist	☐ Housewife ☐ Others _____ (Please specify)
	Second	☐ Private Service ☐ Retired	☐ Public Sector / Govt. Service ☐ Student	☐ Business ☐ Forex Dealer	☐ Professional ☐ Agriculturist	☐ Housewife ☐ Others _____ (Please specify)
	Third	☐ Private Service ☐ Retired	☐ Public Sector / Govt. Service ☐ Student	☐ Business ☐ Forex Dealer	☐ Professional ☐ Agriculturist	☐ Housewife ☐ Others _____ (Please specify)

Others (For individuals)	First/Sole	☐ Politically Exposed Person	☐ Related to Politically Exposed Person	☐ Not Applicable
	Second	☐ Politically Exposed Person	☐ Related to Politically Exposed Person	☐ Not Applicable
	Third	☐ Politically Exposed Person	☐ Related to Politically Exposed Person	☐ Not Applicable

Others (For Non-individuals) Is the entity involved in any of the following services
(i) Foreign Exchange/Money Changer Services ☐ Yes ☐ No (ii) Gaming/Gambling/Lottery/Casino Services/Betting Syndicates ☐ Yes ☐ No (iii) Money Lending/Pawning ☐ Yes ☐ No

PAN/KRN (Refer Instruction no. 3), Date of birth is mandatory in case of Minor, additionally refer Instruction no. 2, KYC & Networth (Refer Instruction no. 13),
KIN: KYC Identification Number from Central KYC Registry

Acknowledgement Slip (To be filled by the Applicant)

Application No :

Received from Mr. / Ms. / M/s. Date D D M M Y Y Y Y

Towards Subscription under below Schemes

Invesco India Scheme Name

Amount (Rs.) Cheque/DD No.

Signature, Stamp & Date

3. Investment Details (Cheque / DD should be drawn in favour of the Scheme. Investors applying under direct plan must mention "Direct" in the box provided below.)

Invesco India | Scheme Name | Plan | Option

Payment Details (For Cash, refer instruction no. 7)

Investment Amt. (Rs)	DD Charges (Rs.)	Net Amt. (Rs)	Cheque/DD No./UMRN
		Net of DD Charges	

Bank Name | A/c. No.

Mode of Payment (✓) ☐ Cheque ☐ DD ☐ Funds Transfer ☐ Cash ☐ NACH

Account Type (✓) ☐ Current ☐ Savings ☐ NRE ☐ NRO ☐ FCNR ☐ SNRR ☐ Others

Applicable in case of Third Party Payment: Payment on behalf of (✓) ☐ Minor ☐ Client ☐ Employee ☐ Distributor (Refer instruction no. 6).

Name of the person making payment

Enclosed (✓) ☐ KYC Proof

PAN/KRN

4. For SIP / Micro SIP for Post Dated Cheques

Refer instruction no. 6

☐ SIP ☐ Micro SIP

(For SIP through Auto-Debit (Direct Debit/ECS/NACH) please fill respective SIP registration cum mandate form)

SIP through Post Dated Cheques (Use CTS (Cheque Truncation System) Cheques only)

Period From (M M Y Y Y Y) To (M M Y Y Y Y)

Cheque Nos. From To

Drawn on Bank

Applicable in case of Third Party Payment: ☐ Minor ☐ Client ☐ Employee ☐ Distributor

Payment on behalf of (✓)

Name of the person making payment

Enclosed (✓) ☐ KYC Proof PAN / KRN

Branch

Frequency (✓) ☐ Monthly *(Default)* or ☐ Quarterly SIP Date (✓) ☐ 3rd ☐ 10th ☐ 15th *(Default)* ☐ 20th ☐ 25th Or Mention Date of your choice

5. Demat Account Details

Optional, Refer instruction no. 11

DP ID # (I N) | Beneficiary Account No. | DP Name | (✓) ☐ NSDL ☐ CDSL

(# Not applicable in case of CDSL).

The details of the Bank Account linked with the Demat A/c as mentioned below should be provided under section 5.

6. Bank Account Details (Mandatory As Per SEBI Guidelines)

Refer instruction no. 4

Bank A/c. No. | A/c. Type (✓) ☐ Current ☐ Savings ☐ NRE ☐ NRO ☐ FCNR ☐ SNRR ☐ Others _____

Bank Name | Branch Address

City

MICR Code (9 digit No. next to your Cheque No.) | NEFT/RTGS/ IFSC Code (11 digit character code appearing on cheque leaf) | PIN

Please provide a cancelled cheque leaf of the same bank account as mentioned above. We will credit the redemption/dividend proceeds directly into investors' account through electronic means if the details provided by the investors are sufficient for the same. Mentioning your IFSC will help us transfer the amount to your bank account faster. To receive cheque payout, (✓) ☐ If you have provided multiple bank registration form (✓) ☐.

Unit holders who have opted to hold Units in dematerialised form must provide Bank Account details linked with the Demat account, as mentioned under section 4. In case of discrepancy, bank details as per depository records will be final.

7. Nomination Details (Mandatory for investors who opt to hold units in non-demat form.)

Refer Instruction no. 10

	Name	Date of Birth (for minor)	% Share	Relationship	Nominee PAN
Nominee 1		D D M M Y Y Y Y			
Nominee 2		D D M M Y Y Y Y			
Nominee 3		D D M M Y Y Y Y			

Name of Guardian (If Nominee is Minor)	Guardian's Relation (with the minor)	PAN of Guardian

Address

I do not intend to nominate (✓ the box , in case you do not wish to nominate) ☐

8. Declaration & Signature(s)

The Trustees, Invesco Mutual Fund

Having read and understood the contents of the Statement of Additional Information / Scheme Information Document(s) of the scheme, I / We hereby apply to the Trustees of Invesco Mutual Fund for units of the Scheme / Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I / We have understood the details of the Scheme and I / We have not received nor have been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We do not have any existing Micro Investments which together with the current Micro Investment application will result in aggregate investments exceeding Rs. 50,000/- in a year (applicable to Micro Investment investors only). The Distributor has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I / We hereby authorise Invesco Mutual Fund, its Investment Manager and its Agents to disclose details of my / our investment to my / our bank(s) / Invesco Mutual Fund's Bank(s) and / or Distributor / Broker/ Investment Advisor and to verify my/ our bank details provided by me / us. I / We hereby declare that the particulars given above are correct. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Invesco Asset Management (India) Pvt. Ltd. (Investment Manager to Invesco Mutual Fund), their appointed service providers or representatives responsible. I / We will also inform Invesco Asset Management (India) Pvt. Ltd., about any changes in my/ our bank account. I / We hereby declare that the amount being invested by me / us in the Scheme of Invesco Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time.

I / We confirm that I / We are not United States person(s) under the laws of United States or residents(s) of Canada as defined under the applicable laws of Canada.

Applicable to KRN holders : I, the first / sole holder hereby declare that I do not hold a Permanent Account Number and hold only a single 'PAN exempt KRN' issued by KRA and that my existing investment in schemes of Invesco Mutual Fund together with current application will not result in aggregate investments exceeding Rs. 50,000/ - in a rolling 12 months period or in a financial year i.e. April to March.

Applicable to NRIs only : I / We confirm that I am / we are Non-Residents of Indian Nationality /Origin and that the funds are remitted from abroad through approved banking channels or from my /our NRE / NRO / FCNR/ SNRR Account. I / We confirm that the details provided by me / us are true and correct.

(✓) Yes ☐ No ☐ If NRI (✓) ☐ Repatriation basis ☐ Non-Repatriation basis

Date (D D M M Y Y Y Y) Place

Sole / First Applicant / Guardian / POA ✍

Second Applicant / POA ✍

Third Applicant / POA ✍

GET IN TOUCH

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